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JAN 2 4 2002

GROUP 1600 Docket No. 018547-019420 US

PTO FAX NO.:

703-872-9306

ATTENTION:

Examiner S.W. Houtteman

Group Art Unit 1555

## OFFICIAL COMMUNICATION

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## **EXAMINER S.W. Houtteman**

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of DAVID J. LOCKHART et al., Application No. 09/880,727, filed June 13, 2001 for NUCLEIC ACID ANALYSIS TECHNIQUES is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

## Document(s) Attached

- 1. Transmittal form (1 p.);
- 2. Request for corrected filing receipt (2 pp.); and
- 3. Marked-up copy of filing receipt to show correction (2 pp.).

Number of pages being transmitted, including this page: 6

Dated: January 23, 2002

Paula Faulk Hurley

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JAN.23.2002 12:34PM TOWNSEND&TOWNSEND PTO/SB/21 (08-(0)) Approved for use through 10/31/2002, OMB 0651-00 Please type a plus sign (+) inside this box -> 1 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERIE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/880.727 Application Number TRANSMITTAL June 13, 2001 Filing Date Lockhardt, David J. **FORM** First Named Inventor 1655 Group Art Unit (to be used for all correspondence after initial filing) 8.W. Houtteman **Examiner Name** 018547-019420US Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Appeals and Interferences Drawing(s) Fee Attached Appeal Communication to Group Licensing-related Papers (Appeal Natice, Brief, Reply Brief) Amendment / Response Petition Routing Silp (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Provisional Application Affidavits/declaration(s) Power of Attorney, Revocation Other Enclosure(s) Change of Correspondence Address (please identity below): Extension of Time Request Request for corrected filing receipt Terminal Disdaimer Express Abandonment Request Request for Refund Marked-up copy of filing receipt showing correction CD, Number of CD(s) Information Disclosure Statement The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1,52 or 1,53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Reg. No. 37,505 Joe Liebeschuetz Individual name Signature January 23, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9305 on January 23, 2002. Typed or printed name Paula Faulk Hurley Date January 23, 2002 Signature

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID J. LOCKHART et al.

Application No.: 09/880,727

Filed: June 13, 2001

For: NUCLEIC ACID ANALYSIS

TECHNIQUES

Examiner:

S.W. Houtteman

Art Unit:

1655

REQUEST FOR CORRECTED FILING

RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the name of the fourth inventor is inverted and does not match that of the Application Data Sheet submitted with the application and should read as follows:

#### Chaoqiang LAI

The correction is not due to any error by applicant and no fee is due. The Commissioner is hereby authorized to charge any additional fees associated with this paper or

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DAVID J. LOCKHART et al. Application No.: 09/880,727

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during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: 650-326-2400 Fax: 650-326-2422

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06/13/2001 1656 01942US 09/880,727

CONFIRMATION N.D. 6111

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FILING RECEIPT \*OC000000007288478\*

Date Mailed: 01/14/2002

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Applicant(s)

... David J. Lockhart, Santa Ciara, CA; Mark Chee, Palo Alto, CA: Kevin Gunderson, Palo Alto, CA; Chaoqiang LAI ei Chaoqiang, Santa Clara, CA; Lisa Wodicka, Santa Clara, CA; Maureen T. Cronin, Los Altos, CA; Danny H. Lee, San Jose, CA; Huu M. Tran, San Jose, CA; Hajime Matsuzaki, Palo Alto, CA: Glenn H. McGall, Mt. View, CA; Anthony D. Barone, San Jose, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/882,649 06/25/1997 WHICH IS A CON OF PCT/US97/01603 01/22/1997 WHICH CLAIMS BENEFIT OF 60/010,471 01/23/1996 AND CLAIMS BENEFIT OF 60/035,170 01/09/1997

Foreign Applications

If Required, Foreign Filing License Granted 01/03/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Nucleic acid analysis techniques

Preliminary Class

435

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